## PLEASE CONSENT TO RECEIVE ELECTRONIC DELIVERY OF DOCUMENTS

For the purposes of this Consent, "Client", "Retail Customer", "Customer", "You" and "Your" refers to You, the person accessing the Concorde Investment Services, LLC, Concorde Asset Management, LLC or Concorde Insurance Agency, Inc. Electronic Communications (the "Electronic Communications"). "Concorde", "Company", "Ourselves", "We" and "Us" refers to any or all Concorde entities with which you may be engaging in securities or insurance business. "Party", "Parties", or "Us", refers to both the Client and Company, or either the Client or Company.

The purpose of this consent is to ensure that you are fully aware of the consequences of agreeing to receive electronic delivery of disclosure documents and/or your completed electronically signed documents.

## **Terms**

"Electronic documents" includes documents you may complete via web page and save on your computer or attach to e-mail. They can typically be printed out but exist independently in an electronic form on a server or on your computer.

## Consent to Electronic Delivery

By selecting the "I Accept" button, you specifically agree to receive, obtain, and/or submit any and all Concorde and/or sponsor documents, disclosures, and information electronically, which are collectively known as "Electronic Communications," and will include, but not be limited to, any and all current and future required notices and/or disclosures concerning the services provided by Concorde, accounts held with Concorde or investments held in your accounts, as well as such documents, statements, data, records and other communications regarding such services, accounts and/or investments. Additional election for electronic delivery may also be required directly with your custodians and investment sponsors. You are acknowledging that you are able to access and obtain these Electronic Communications, disclosures, documents, or records that are delivered on devices that you maintain. You accept Electronic Communications provided by Concorde as reasonable and proper notice for the purpose of fulfilling any and all rules and regulations, and agree that such Electronic Communications fully satisfy any requirement that communications be provided to you in writing or in a form that you may keep.

- 1. Right to Receive Paper Documents: You have the right to have any document provided in paper or non-electronic form. If you want a paper copy of any document, you may indicate that you do not consent to receive the documents in an electronic form and they will be provided to you in either a PDF format for printing and signing or hard-copy format to sign by hand, and email to my registered representative or newbusiness@concordeis.com, or mail to my registered representative or Concorde at 19500 Victor Parkway, Suite 550, Livonia, Michigan 48152. Customers are encouraged to password protect electronic files sent through email or contact their Representative or Concorde regarding other methods for secure transfer of documents signed by hand.
- **2. Right to Withdraw Consent.** You have the right at any time to withdraw your consent to sign electronic documents with electronic signature by contacting Concorde through the Contact Us link provided on our

websites, via email to info@concordeis.com,or by contacting your Registered Representative directly. The legal validity and enforceability of the electronic documents, signatures and deliveries used prior to withdrawal of consent will not be affected. In other words, all prior electronic signatures shall be fully valid and enforceable. If you do not choose to withdraw your consent, then it will be valid so long as your accounts are held with Concorde.

- **3.** Changes to Your E-Mail Address. You should keep Us informed of any change in your electronic or email address. Please contact us as promptly as possible at Concorde through the Contact Us link on our website or via email to info@concordeis.com regarding any such changes or by contacting your Registered Representative directly.
- **4. Minimum Hardware and Software Requirements.** To participate in the electronic delivery program and be sent all necessary documentation, you must have a valid email address on record and Internet access via a browser that is JavaScript-enabled. In order to access PDF documents, you must have Adobe© Acrobat© Reader software. This software is available for download at no cost at <a href="https://www.adobe.com">https://www.adobe.com</a>. The following hardware and software are required to access (open and read) and retain (save) the electronic documents:
  - Operating Systems: Windows 98, Windows 2000, Windows XP or Windows Vista; or Macintosh OS 8.1 or higher.
  - Browsers: Internet Explorer 5.01 or above or equivalent
  - Needed Software/Electronic Document Formats: Adobe Acrobat Reader or equivalent for PDF files; Word program for Word files
- **5. Your Ability to Access Disclosures.** By completing this consent, you acknowledge that you can access and retain the electronic documents.
- **6. Consent to Electronic Delivery of Documents:** By completing this consent form, you are providing electronic consent to the use of electronic delivery of documents.

## CONSENT:

I have read, understood, and consent to the language and authorizations outlined in Concorde's Consent to Receive Electronic Delivery of Documents. I understand that if I wish to obtain hard copies of the disclosures and/or documents(s) or withdraw my consent, I must contact Concorde through the Contact Us link provided on the website(s), via email to <a href="mailto:info@concordeis.com">info@concordeis.com</a>, or by contacting my Registered Representative directly.